FFW

PTO/SB/21 (04-04) Application Number 10/797.586 **TRANSMITTAL** Filing Date March 9, 2004 **FORM** First Named Inventor Kapur, Shyam Art Unit be used for all correspondence after initial filing) 2177 Examiner Name Unassigned Attorney Docket Number 017887-012300US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer identify below): Return Postcard **Express Abandonment Request** Request for Refund PTO Form SB/08A and SB/08B Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Deposit Remarks Certified Copy of Priority Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Cathy E. Cretsinger Reg. No. 51,588 Individual name Signature Date 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Tiffany Wu

Date

9-16-04

Signature

A Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 017887-012300US

Tiffany Wu
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shyam Kapur et al.

Application No.: 10/797,586

Filed: March 9, 2004

For: VECTOR ANALYSIS OF HISTOGRAMS FOR UNITS OF A CONCEPT NETWORK IN SEARCH

QUERY PROCESSING

Examiner: Unassigned

Art Unit: 2177

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. All cited references are U.S. patent publications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

'Shyam Kapur et al.

Application No.: 10/797,586

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Cathy E. Cretsing r Reg. No. 51,588

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

CEC:rlj 60309284 v1

		0	, , , , , , , , , , , , , , , , , , ,			
Substitu	ute for form 1449A/PT	10(%)	A TOESARA		Complete if Known	
				Application Number	10/797,586	
INF	ORMATION	I DIS	SCLOSURE	Filing Date	March 9, 2004	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Kapur, Shyam	
				Art Unit	2177	
	(use as many sh	eets a	s necessary)	Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	017887-012300US	

		Document Number			
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,772,150 B1	08-03-2004-	Whitman et al.	
	2	US-6,675,159 A	01-06-2004	Lin et al.	
	3	US-6,539,348 B1	03-25-2003	Bond	
	4	US-6,363,373 B1	03-26-2002	Steinkraus	
	5	US-6,076,088 A	06-13-2000	Paik et al.	
	6	US-6,006,221 A	12-21-1999	Abbott	
	7	US-2003-0069880	04-10-2003	Harrison et al.	

				FOREIGN PA	TENT DOCUME	ENTS		
Examiner	Cite	Fore	eign Patent Doo	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁸ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶

Examiner Signature	Date Considered	



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

Complete if Known				
Application Number	10/797,586			
Filing Date	March 9, 2004			
First Named Inventor	Kapur, Shyam			
Art Unit	2177			
Examiner Name	Unassigned			
Attorney Docket Number	017887-012300US			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
-				

Examiner		Date	
Signature		Considered	
C.g. ia.a.o	•		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.